



General Assembly

February Session, 2010

Amendment

LCO No. 5032

HB0540305032HDO

Offered by:
REP. SPALLONE, 36th Dist.

To: Subst. House Bill No. 5403 File No. 308 Cal. No. 181

"AN ACT CONCERNING REVISIONS TO THE CODE OF ETHICS."

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- 1 In line 236, strike "serve until a successor is appointed or"
 - 2 In line 356, strike "part IV of this chapter"
 - 3 In line 357, strike "part IV of this chapter"
 - 4 In line 366, strike "part IV of this chapter"
 - 5 In line 409, strike both sets of brackets and strike "following"
 - 6 Strike sections 6, 10, 12, 13 and 17 in their entirety and renumber the
 - 7 remaining sections and internal references accordingly
 - 8 In line 804, strike the brackets and strike "person"
 - 9 In line 865, after "Ethics." insert the following: "For purposes of this
 - 10 subsection, "person" does not include anyone who is excluded from
 - 11 the definition of "lobbyist" in subparagraphs (A) to (F), inclusive, of
 - 12 subdivision (12) of section 1-91, as amended by this act."

13 Strike section 20 in its entirety and insert the following in lieu
14 thereof:

15 "Sec. 20. Section 1-96 of the general statutes is repealed and the
16 following is substituted in lieu thereof (*Effective October 1, 2010*):

17 (a) Each client lobbyist registrant shall file with the Office of State
18 Ethics between the first and tenth day of April, July and January a
19 financial report, signed under penalty of false statement. The April and
20 July reports shall cover its lobbying activities during the previous
21 calendar quarter and the January report shall cover its lobbying
22 activities during the previous two calendar quarters. In addition to
23 such reports, each client lobbyist registrant which attempts to
24 influence legislative action shall file, under penalty of false statement,
25 interim monthly reports of its lobbying activities for each month the
26 General Assembly is in regular session, except that no monthly report
27 shall be required for any month in which it neither expends nor agrees
28 to expend one hundred dollars or more in furtherance of lobbying.
29 Such interim monthly reports shall be filed with the Office of State
30 Ethics no later than the tenth day of the month following the last day
31 of the month reported. If the client lobbyist registrant is not an
32 individual, an authorized officer or agent of the client lobbyist
33 registrant shall sign the form. A communicator lobbyist for a
34 municipality or any subdivision of a municipality, a branch of state
35 government or any subdivision of state government or a quasi-public
36 agency shall file the reports described in this subsection utilizing the
37 client lobbyist reporting schedule.

38 (b) Each individual communicator lobbyist registrant and each
39 business organization communicator lobbyist registrant shall file
40 annually with the Office of State Ethics between the first and tenth day
41 of January a report or reports, signed under penalty of false statement,
42 reporting the amounts of compensation and reimbursement received
43 from each of his clients during the previous year. In addition, each
44 individual communicator lobbyist registrant and each business
45 organization communicator lobbyist registrant shall: (1) Report the

46 fundamental terms of contracts, agreements or promises to pay or
47 receive compensation or reimbursement or to make expenditures in
48 furtherance of lobbying, including the categories of work to be
49 performed and the dollar value or compensation rate of the contract, at
50 the time of registration; (2) report, in accordance with the schedule set
51 forth in subsection (a) of this section, any amendments to these
52 fundamental terms, including any agreements to subcontract lobbying
53 work; and (3) report, in accordance with the provisions of subsection
54 (a) of this section, any expenditures for the benefit of a public official in
55 the legislative or executive branch, [or] a member of the staff or
56 immediate family of such official which are unreimbursed and
57 required to be itemized. Such report shall not include any expenditures
58 for the benefit of a public official in the legislative or executive branch
59 who is (A) the spouse, fiancée or fiancé of the individual
60 communicator lobbyist making the expenditure, (B) the parent, brother
61 or sister of such spouse or such individual communicator lobbyist, or
62 (C) the child of such individual communicator lobbyist or the spouse
63 of such child. Such report shall not include the disclosure of food and
64 beverage provided by a communicator lobbyist registrant to a public
65 official in the legislative or executive branch, or a member of [his] the
66 staff or immediate family of such official, at a major life event, as
67 defined by the Citizen's Ethics Advisory Board, of the registrant. All
68 such information shall be reported under penalty of false statement.

69 (c) An individual communicator lobbyist registrant shall file a
70 separate report for each person from whom he received compensation
71 or reimbursement. Notwithstanding any provision of this subsection to
72 the contrary, a business organization to which one or more individual
73 communicator lobbyist registrants belong may file a single report for
74 each client lobbyist in lieu of any separate reports that individual
75 registrants are required to file pursuant to this subsection.

76 (d) Each registrant who files a notice of termination under
77 subsection (c) of section 1-95, as amended by this act, shall file with the
78 Office of State Ethics a financial report, under penalty of false
79 statement, between the first and tenth day of January of the year

80 following termination.

81 (e) Each client lobbyist registrant financial report shall be on a form
82 prescribed by the board and shall state expenditures made and the
83 fundamental terms of contracts, agreements or promises to pay
84 compensation or reimbursement or to make expenditures in
85 furtherance of lobbying. Any such fundamental terms shall be
86 reported once in the monthly, quarterly or post-termination report
87 next following the entering into of such contract. Such financial report
88 shall include an itemized statement of each expenditure of ten dollars
89 or more per person for each occasion made by the reporting registrant
90 or a group of registrants which includes the reporting registrant for the
91 benefit of a public official in the legislative or executive branch, a
92 member of [his] the staff or immediate family of such official itemized
93 by date, beneficiary, amount and circumstances of the transaction. The
94 requirement of an itemized statement shall not apply to an
95 expenditure made by a reporting registrant or a group of registrants
96 which includes the reporting registrant for (1) the benefit of the
97 members of the General Assembly at an event that is a reception to
98 which all such members are invited or all members of a region of the
99 state, as such term is used in [subdivision (11) of subsection (g)]
100 subdivision (7) of section 1-91, as amended by this act, are invited,
101 unless the expenditure is thirty dollars or more per person, or (2)
102 benefits personally and directly received by a public official or state
103 employee at a charitable or civic event at which the public official or
104 state employee participates in his or her official capacity, unless the
105 expenditure is thirty dollars or more per person, per event. If the
106 compensation is required to be reported for an individual whose
107 lobbying is [incidental to his] within the scope of such person's regular
108 employment, it shall be sufficient to report a prorated amount based
109 on the value of the time devoted to lobbying. On the first financial
110 report following registration each client lobbyist registrant shall
111 include any expenditures incident to lobbying activities which were
112 received or expended prior to registration and not previously reported
113 to the Office of State Ethics.

114 (f) The Citizen's Ethics Advisory Board shall, by regulations
115 adopted in accordance with chapter 54, establish minimum amounts
116 for each item required to be reported, below which reporting may be
117 made in the aggregate. The provisions of this subsection shall not
118 apply to expenditures made for the benefit of a public official or a
119 member of such person's staff or immediate family.

120 (g) Each former registrant shall (1) report receipts or expenditures
121 incident to lobbying activities during his period of registration which
122 are received or expended following termination of registration and (2)
123 report each expenditure of ten dollars or more per person for each
124 occasion made by him for the benefit of a public official or a member
125 of [such official's] the immediate family or staff of such official which
126 occurs within six months after termination of registration.

127 (h) The Office of State Ethics shall, [within] not later than thirty days
128 after receipt of a financial report which contains the name of a public
129 official in the legislative or executive branch or a member of [such
130 official's] the staff or immediate family of such official, send a written
131 notice to such public official, of the filing of the report and the name of
132 the person who filed it."